

**23646**

Attorney Docket No. 509/41775  
PATENT

U.S. PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kevin B. Root et al.  
Continuation of Serial No.: 10/032,477 Art Unit: 3613  
Filed: Herewith Examiner: Siconolfi, R.  
For: INTEGRATED TRAIN ELECTRICAL AND PNEUMATIC  
BRAKES

**SUBMISSION UNDER 37 C.F.R. 1.56, 1.97 & 1.98**  
**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

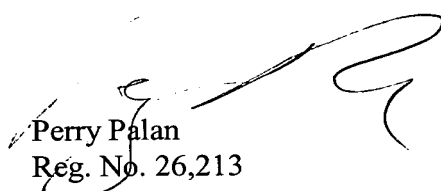
Sir:

To comply with the duty of disclosure set forth in 37 C.F.R. 1.56, the prior art listed on the attached PTO-1449 is submitted herewith to the Examiner for consideration in connection with the examination of the above-identified application.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Barnes & Thornburg, Deposit Account No. 02-1010 (509/41775).

Respectfully submitted,

BARNES & THORNBURG

  
Perry Palan  
Reg. No. 26,213

Enclosures

ATTY DOCKET NO.

509/41775

SERIAL NO.

New Application

**LIST OF DOCUMENTS CITED BY APPLICANT***(Use several sheets if necessary)*

APPLICANT

Kevin B. Root et al.

FILING DATE

Herewith

ART UNIT

3613

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,652,057	03/24/1987	Engle et al.			
	AB	4,971,399	11/20/1990	Balukin et al.			
	AC	5,172,316	12/15/1992	Root et al.			
	AD	5,192,118	03/09/1993	Balukin et al.			
	AE	5,393,129	02/28/1995	Troiani et al.			
	AF	5,538,331	07/23/1996	Kettle, Jr.			
	AG	5,590,042	12/31/1996	Allen, Jr. et al.			
	AH	5,721,683	02/24/1998	Joyce, Jr. et al.			
	AI	5,862,048	01/19/1999	Knight			
	AJ	5,984,427	11/16/1999	Kettle, Jr.			
	AK	6,334,654	01/01/2002	Root et al.			
	AL	6,435,624	08/20/2002	Kull et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No
	AM							
	AN							
	AO							

**OTHER PRIOR ART** *(Including Author, Title, Date Pertinent Pages, Etc.)*

	AP		
	AQ		
	AR		
<b>EXAMINER:</b> _____ <b>DATE CONSIDERED:</b> _____			
<b>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</b>			